

SEP 25 2006

# FAX TRANSMISSION

DATE: September 25, 2006

PTO IDENTIFIER: Application Number 10/763,327  
Patent Number

Inventor: Ming Gao Yao et al.

MESSAGE TO: US Patent and Trademark Office

FAX NUMBER: (571) 273-8300

FROM: BAKER DONELSON BEARMAN CALDWELL & BERKOWITZ, PC

Kening Li, Ph.D.

PHONE: (202) 508-3450

Attorney Dkt. #: 2902246.4

PAGES (Including Cover Sheet): 4

CONTENTS: Certificate of Transmission (1 page)  
Statement Under 3.73(b) (1 page)  
Power of Attorney (1 page)

If your receipt of this transmission is in error, please notify this firm immediately by collect call to sender at (202) 508-3479 and send the original transmission to us by return mail at the address below.

This transmission is intended for the sole use of the individual and entity to whom it is addressed, and may contain information that is privileged, confidential and exempt from disclosure under applicable law. You are hereby notified that any dissemination, distribution or duplication of this transmission by someone other than the intended addressee or its designated agent is strictly prohibited.

BAKER DONELSON BEARMAN CALDWELL & BERKOWITZ, PC  
555 11th Street, N.W., 6th Floor, Washington, DC 20004  
Telephone: (202) 508-3479 Facsimile: (202) 508-3402

PTQ/SB/97 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**Application No. (if known): 10/763,327**

**Attorney Docket No.: 2902246.4**

## Certificate of Transmission under 37 CFR 1.8

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office.

on September 25, 2006  
Date

Jordan A. Sprengn Signature

**Jordan A. Speranza**

Typed or printed name of person signing Certificate

Registration Number, If applicable

**202.508.3459**  
**Telephone Number**

**Note:** Each paper must have its own certificate of transmission, or this certificate must identify each submitted paper.

Statement Under 3.73(b) (1 page)  
Power of Attorney (1 page)

SEP 25 2006

PTO/SB/98 (12-05)

Approved for use through 07/31/2005. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Ming Gao Yao, et al.Application No./Patent No./Control No.: 10/763,327Filed/Issue Date: January 26 2004Entitled: Micro-Actuator, Head Gimbal Assembly and Manufacturing Method ThereofSAE MAGNETICS (H.K.) LTD

(Name of Assignee)

a Corporation

(Type of Assignee: corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %)

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 014935, Frame 0380, or a true copy of the original assignment is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Signature

Kening Li, Ph.D.

Printed or Typed Name

Attorney for Applicant  
Title

Date

9/25/06  
202-508-3479

Telephone Number

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

SEP 25 2006

**POWER OF ATTORNEY TO PROSECUTE APPLICATIONS BEFORE THE USPTO**

I hereby revoke all previous powers of attorney given in the application identified in the attached statement under 37 CFR 3.73(b).

I hereby appoint:

☒ Practitioners associated with the Customer Number:

59554

OR

☐ Practitioner(s) named below (If more than ten patent practitioners are to be named, then a customer number must be used):

Name	Registration Number	Name	Registration Number

as attorney(s) or agent(s) to represent the undersigned before the United States Patent and Trademark Office (USPTO) in connection with any and all patent applications assigned only to the undersigned according to the USPTO assignment records or assignment documents attached to this form in accordance with 37 CFR 3.73(b).

Please change the correspondence address for the application identified in the attached statement under 37 CFR 3.73(b) to:

☐ The address associated with Customer Number:

59554

OR

☐ Firm or  
Individual Name

Address

City

State

Zip

Country

Telephone

Email


Assignee Name and Address:

SAE MAGNETICS (H.K.) LTD.  
SAE Tower, 38-42  
Kwaifung Crescent Kwai Chung  
N.T. Hong Kong, CHINA

A copy of this form, together with a statement under 37 CFR 3.73(b) (Form PTO/SB/56 or equivalent) is required to be filed in each application in which this form is used. The statement under 37 CFR 3.73(b) may be completed by one of the practitioners appointed in this form if the appointed practitioner is authorized to act on behalf of the assignee, and must identify the application in which this Power of Attorney is to be filed.

**SIGNATURE of Assignee of Record**

The individual whose signature and title is supplied below is authorized to act on behalf of the assignee

Signature		Date	20. Sep. 2006
Name	Takehiro Kamigama	Telephone	852-26128888
Title	President		